

UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS

FILED  
IN CLERKS OFFICE  
2004 JUN -4 P 1:15  
U.S. DISTRICT COURT  
DISTRICT OF MASS.

\_\_\_\_\_  
**WILLIAM A. DePARDO,**  
**Plaintiff**

v.

\_\_\_\_\_  
**MFS/SUN LIFE FINANCIAL**  
**DISTRIBUTORS, INC. d/b/a**  
**SUN LIFE OF CANADA and**  
**TAC WORLDWIDE COMPANIES,**  
**Defendants**

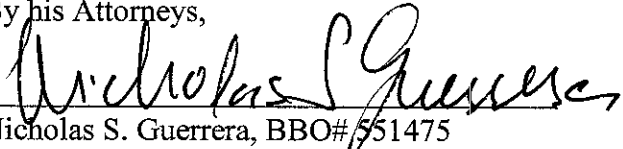
CIVIL ACTION NO.: 04-10248DPW

**CERTIFICATION PURSUANT TO LOCAL RULE 16.1(D)(3)**

Plaintiff William A. DePardo, and his attorney hereby certify that they have conferred concerning the following: (a) establishing a budget for the costs of conducting the full course and various alternative courses of the litigation; and (b) considering the resolution of the litigation through the use of alternative dispute resolution programs.

Respectfully submitted:  
The Plaintiff, William A. DePardo,

By his Attorneys,

  
Nicholas S. Guerrero, BBO#551475  
Shaheen Guerrero & O'Leary, LLC  
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**CERTIFICATE OF SERVICE**

I hereby certify that on this day a true copy of the above document was served upon the attorney of record for each party by mail pursuant to Mass. R. Civ. P. 5.

Dated: 6-2-04

  
Nicholas S. Guerrero